

Fundo *Paiter Surui*





# Introduction



*This presentation refers to the validation stage of the Paiter Surui Fund, which took place in a meeting with the Parliament and other representatives from the Paiter People, in the Metareilá Association, located in Riozinho-Cacoal, on 17 to 20 January, 2012.*

*In this presentation agreements are consolidated, established by a participative process initiated in 2009, in which different partners of the Paiter Surui collaborated. The Fund Paiter Surui reflects the habits and customs of the cultural tradition Paiter/Surui. Respect the dignity, human rights and promotes the distribution of social and economic benefits in a transparent manner and on behalf of the whole community.*

*The result of this process was the construction of a financial mechanism, adapted to: the structural organization, values and principles of the Paiter People, which mainly assures the indigenous role.*

# Agenda

## Activities

Personal Introduction and coexistence agreements

Meeting objectives and expectations collection

MISSION and VISION genesis

PRINCIPLES Validation

Validation of GENERAL CHARACTERISTICS

GOVERNANCE Overview

Validation of the STAGES

Validation of the GOVERNANCE STRUCTURE

Validation of the ROLES and RIGHTS

Discussion about the structures of the carbon agreements (coord.: Dr. Ludovino Lopes)

Definition of the next steps



# Mission and Vision

# Mission and Vision



- The MISSION must answer what the organization is disposed to do and to whom.
- The mission serves for internal alignments and communication with the other partners.
- The mission of the Paiter Surui Fund must state: Why does it exist? What does it do? And to whom?



- The VISION must point the desired future of the organization, that is, the goal to be pursued by members of the governance structure of the Paiter Surui Fund.
- The vision must be realistic and objective, and should not point to intangible results.
- The vision of the Paiter Surui Fund must answer: What do we want it to become? What will it be? On which direction should we point our efforts?



# Missão e Visão



*Example Brazilian Fund for Biodiversity (FUNBIO):*

## Mission

Allocate strategic resources for biodiversity conservation.

## Vision

Become a reference on strategic resources viability and solutions for biodiversity conservation.

*Example National Confederation of Industry (CNI):*

## Mission

Defend and represent the industry in promoting an environment favorable to business, to competition and to a sustainable development in Brazil.

## Vision

Consolidate itself as the business organization leader in promoting growing and competition of the Brazilian industry, acting as a fundamental factor for the Brazilian development.

# Mission

*Paiter Surui Fund:*

## **MISSION**(english)

Generate benefits for the indigenous territory, organized, centralized and making transparent the gathering, management and usage of resources, with Paiter Surui governance, for the implementation of the Management Plan.

## **SOEMAGAWESAME** (Tupi Mondé)

Soeh maor lad gãrah kabi, ebo guya soemapind, sota alade ewe same maga, guya Paiter Surui esade ewwitxa ewemi, ebo soekane alade ewe same man.

*\* Assembled by representatives of the Paiter Surui people, during the meeting for validation of the Fund, which took place in the Metareilá Association, in Riozinho-Cacoal, in 17 to 20 January, 2012.*



# Principles



The background of the slide is a photograph of a sunset or sunrise. The sun is a bright, glowing orb partially obscured by dark, silhouetted clouds. The sky is filled with layers of clouds, some catching the low light of the sun, creating a warm, orange and yellow glow. In the foreground, the dark, silhouetted peaks of mountains or hills are visible against the lighter sky. A bright yellow rectangular box is positioned in the upper right quadrant of the image, containing text.

## Principles

**Objective:** Guide the operational methods of members of the governance structure in the fulfilment of the mission and the reach of the vision of the **Paiter Surui Fund**.

# Transparência



## PRINCIPLE

The behavior of the Fund must be transparent, deserving the broader disclosure to indians and non-indians.

## PURPOSE

Guarantee the legitimacy for the operation of members of the governance structure and institute a trust relation between different instances of the Fund, along with transmitting reliability to partners and allocators of resources.

## IMPLEMENTATION

- Creation of a web-site, videos, printed news, annual reports and other bilingual visual products, adapted to indians and non-indians, to disclosure activities and results achieved under Funbio;
- Execution of meetings in the indian associations, envisioning the dissemination of decisions taken under Funbio for all the Paiter Surui people;
- Elaboration of a pedagogic political plan;
- Creation of a visual identity for the Fund;
- Elaboration of a communication plan and products for the institutional presentation of the Fund;
- Insertion of a link, directing for the Fund's web-site, in indigenous associations, the Parliament and its partners's web-sites;
- Creation of a computerized system for management and database of the Fund; and
- Maintenance of auditable ledgers.

# Participation



## PRINCIPLE

The participation must exist in every action of the Fund, ensuring the involvement of all the Paiter Surui people, with special attention to questions regarding gender and generation.

## PURPOSE

Assure the inclusion, the understanding and the engagement of all the Paiter Surui people, along with ensuring the construction and adaptation of the Fund to their needs and expectations.

## IMPLEMENTATION

- Organization of participatory bodies (Board, Conflict Resolution Chamber, etc), with deliberative and advisory concepts
- Organization of activities for the shaping of young speakers of the Paiter Surui people;
- Definition of conditions that ensure the broad participation in projects financed by the Fund;
- Institution of simple procedures for the presentation of projects and transfer of resources, which must be available for all the Paiter Surui people;
- Guarantee of sitting spots for women in every instance of the Fund;
- Creation of empowering and engaging strategies for Paiter Surui women;
- Creation of tools for the establishment of synergy between the activities related to the participation and those related to communication; and
- Creation of technical commissions to support the Paiter Surui people in understanding specific questions.



# Sustainability



## PRINCIPLE

The acts of the Fund must be directed to promoting social, cultural and economic sustainability of the Paiter Surui people.

## PURPOSE

Assure the strengthening and maintenance of ancient and traditional values and practices that value culture, unity and knowledge of the Paiter Surui people, along with assuring the financing of the Management Plan.

## IMPLEMENTATION

- Strengthening of the social organization and the indigenous associations of the Paiter Surui people, for the execution of the Management Plan;
- Setting Tupi-Mondé and Portuguese and official languages of the Fund;
- Development of projects with benefits for the collectivity Paiter Surui and incentives for gender projects;
- Promotion of education actions outside and inside the Indigenous Territory, and actions that appreciate the Paiter Surui culture;
- Promotion of actions that assure the conservation of the Paiter forest;
- Establishment of a sustainable and solidary economy in the Indigenous Territory, foreseeing the elaboration of a business plan, the analysis of supply chain, the establishment of social technologies and the development of integrated actions at the local level (ex: fairs);
- Elaboration of a plan for gathering resources and a finance strategy for the Fund, which must indicate the hierarchy and equity of investments;
- Formation of human capital to deal with economic questions; and
- The development of tools for the analysis of the economic feasibility of projects proposed by the Paiter Surui Fund.

# Governance

## PRINCIPLE

O arranjo institucional do Fundo deve ser adequado à forma de organização e às particularidades do povo Paiter Surui.

## PURPOSE

Guarantee the empowerment of the Paiter Surui people and the addressing of their expectations.

## IMPLEMENTATION

- Setting a process that assures the broad participation and autonomy of the Paiter Surui people in the construction of the governance structure of the Fund;
- Implementing a adaptive governance structure, which rules and procedures can be adapted during the first operation stage of the Fund;
- Creation of a governance structure that assures role and the will of the Paiter Surui people in the decision making processes; and
- The maintenance of a constant flux of information.

# Monitoring



## PRINCIPLE

The monitoring must be inherent to the Fund, enabling the regular observance and evaluation of their activities and projects in all of their aspects.

## PURPOSE

Check the progress of the Fund's activities, in different levels, with the objective of improving its operation and ensure its consolidation, along with transmitting reliability with the investors.

## IMPLEMENTATION

- Setting a participative strategic planning for the implementation of the Management Plan, with a hierarchy of priorities and definition of evaluation and monitoring indicators;
- Creation of a monitoring and information system;
- Creation of a database, with a regular register of documents (collection);
- Obligation of the members of the governance structure of the Fund to perform a continuous self-monitoring with their actions and activities;
- Capacitation of indigenous agents to perform the monitoring; and
- Elaborate and disclosure financial reports.





# General Characteristics

## General Characteristics

**Objective:** Assure the fulfillment of the mission and the reach of the **Paiter Surui Fund** vision, along with the implementation of its principles.



# General Characteristics



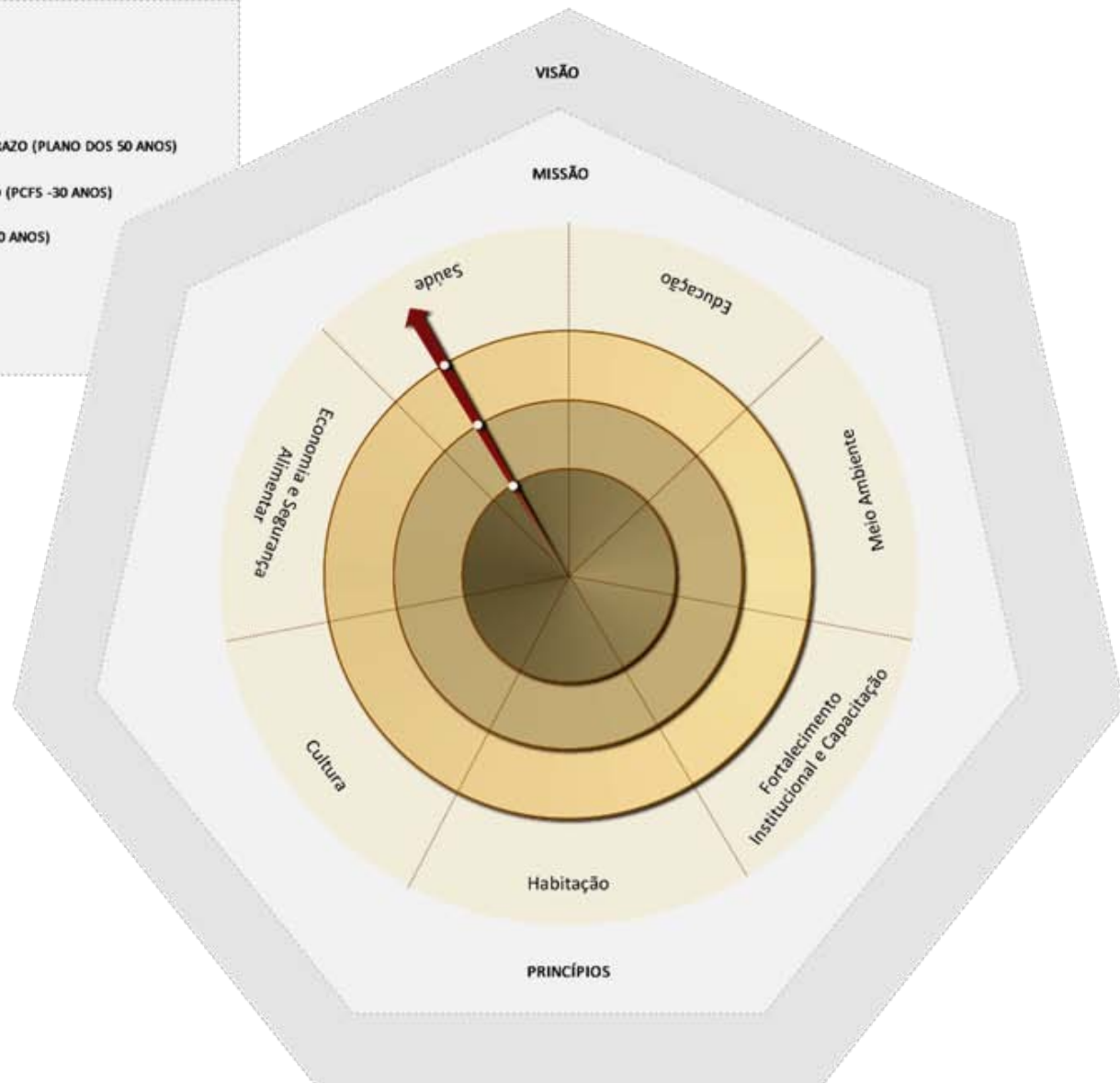
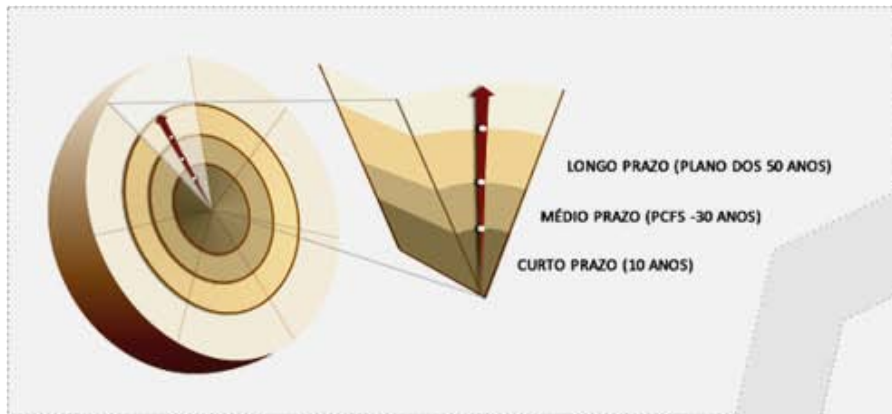
| General Characteristics   | Problems Forewarned   |
|---|---|
| 1. Adaptive Management  | 1. Bureaucracy and immobilization   |
| 2. Self-management  | 2. Tutelage and lack of autonomy  |
| 3. Mechanism for benefits sharing                                     | 3. Inadequate use and individual appropriation of resources                 |
| 4. Long term financing (with short, medium and long term investments) | 4. Process disruptions and discontinuity                                    |
| 5. Credibility and conformity (intern and extern)                     | 5. Insecurities of the investors and illegitimacy and illegality of actions |
| 6. Reserve fund   | 6. Lack of guarantees and lack of predictability for the resources          |
| 7. Voluntary  | 7. Lack of engagement and commitment  |






# Governance and stages

# General View of the Governance



# Stages

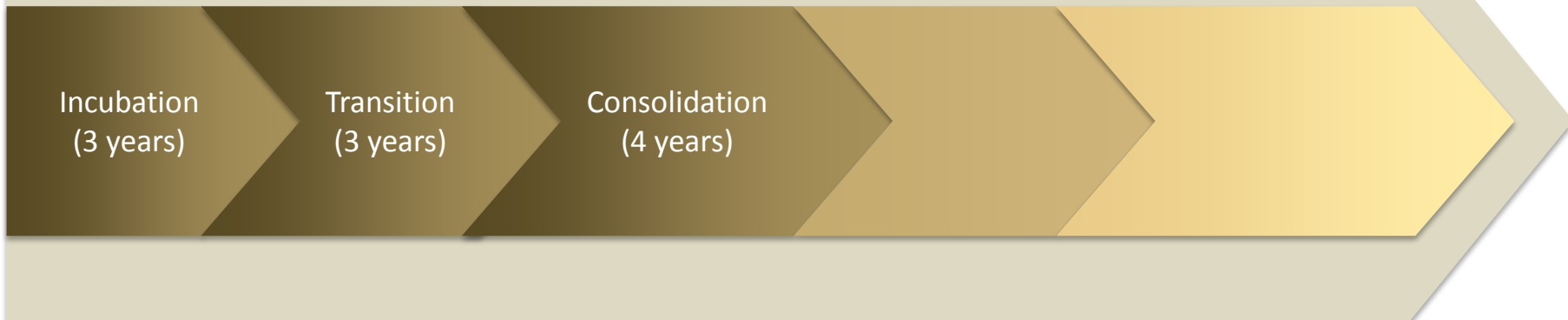


Long term (50 years)

Medium term (30 years)

Short term (10 years)

Continuum Improvement Process



Incubation  
(3 years)

Transition  
(3 years)

Consolidation  
(4 years)

# First Stage - Incubation



Incubation  
(3 years)

Transition  
(3 years)

Consolidation  
(4 years)

## Implementation of a pilot institutional scheme

### Why?

- Adaptation of the operational scheme, rules and procedures of the Fund;
- Building a strong relation with investors and donors;
- Checking the real resources capacity and operational costs of the Fund; and
- Institutional strengthening and improvement of formulation and execution capacities of the indigenous associations and local institutions.

### How?

- Creation, adjusts and ratification of rules and procedures of the Fund;
- Execution of pilot projects;
- Institutional articulation
- Capacity building;
- Institutional strengthening;
- Identification of opportunities for reception of resources for the short term strategy; and
- Evaluation of projects, partners and Fund's performances.

### Which challenges?

- Begin the implementation of the Management Plan for the Indigenous Territory;
- Demonstrate feasibility and effectiveness of the Fund;
- Consolidate the governance structure, with participation and engagement of all its members;
- Implement the local management capacity;
- Ensure the adequate distribution of benefits; and
- Attract new resources for the short term strategy.



# Second Stage - Transition



Incubation(3  
years)

Transition  
(3 years)

Consolidation  
(4 years)

## Transition to the permanent model

### Why?

- Analysis of gaps and opportunities of the pilot operation of the Fund;
- Closer relations with investors and funders;
- Strengthening of indigenous associations and local institutions to assume new roles for the third stage of the Fund; and
- Definition of a strategy for reception and management of resources that contribute for the permanence of the Fund.

### How?

- Performing a study of legal personality;
- Review of documents and procedures;
- Analysis of the efficiency and effectiveness of investments;
- Elaboration of a strategy for reception and management of resources;
- Development of a feasibility study for the creation of mid-term financial strategies; and
- Capacitation and institutional strengthening of indigenous associations and local institutions.

### Which challenges?

- Identify a model that can legally comprise rules and procedures of the Fund, along with assuring the fulfillment of its' mission and principles, and following its' vision;
- Strengthen local institutions and actors to assume new roles and responsibilities on the third stage of the Fund; and
- Identify and gather funds sources both in quantity and diversity to cover medium term strategies.

# Third Stage – Consolidation



Incubation  
(3 years)

Transition  
(3 years)

Consolidation  
(4 years)

## Consolidation of the Surui Fund as an autonomous and strengthened institution.

### Why?

- Autonomy and empowering of the Paiter Surui People;
- Acknowledgment of the financial mechanism of the Paiter Surui People, as model of indigenous territories resources management;
- Guarantee of perpetuity;
- Maximization of resources;
- Consolidation of a good relation between investors and donors; and
- Improvement of management and reduction of administrative costs.

### How?

- Continuous improvement of documents and procedures;
- Continuous analysis of effectiveness and efficiency of all the investments made;
- Implementation of a strategy for gathering and managing resources;
- Development of a feasibility study for the creation of long term financial strategies;
- Capacitation; and
- Continuous institutional strengthening of indigenous associations and local institutions.

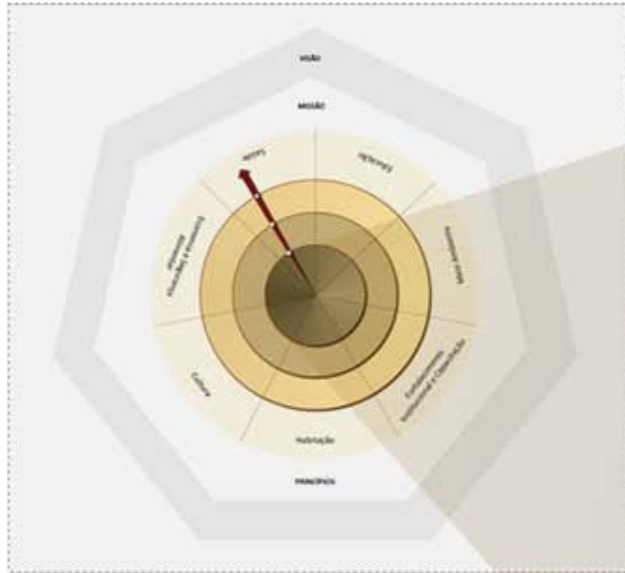
### Which challenges?

- Consolidate procedures and implement routines of continuous improvement;
- Reduce transaction costs; and
- Identify and gather resources both in quantity and diversity to cover financing costs of the Management Plan.



# Governance Structure

# Executing Instance

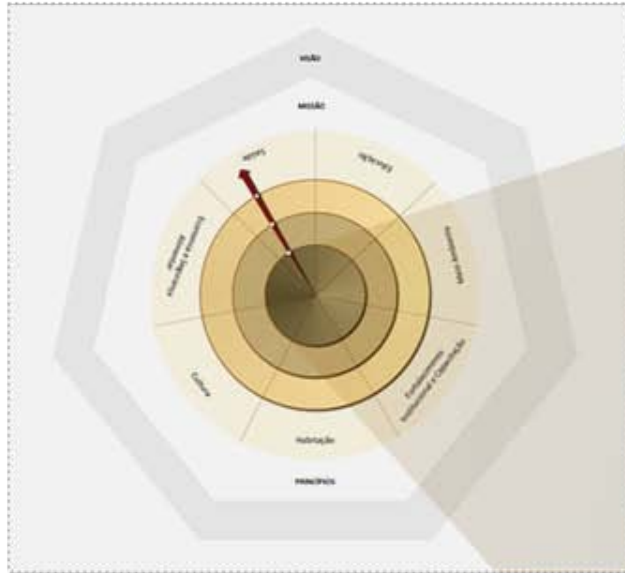


## EXECUTING INSTANCE

- Proposes projects
- Executes
- Implements



# Manager Instance

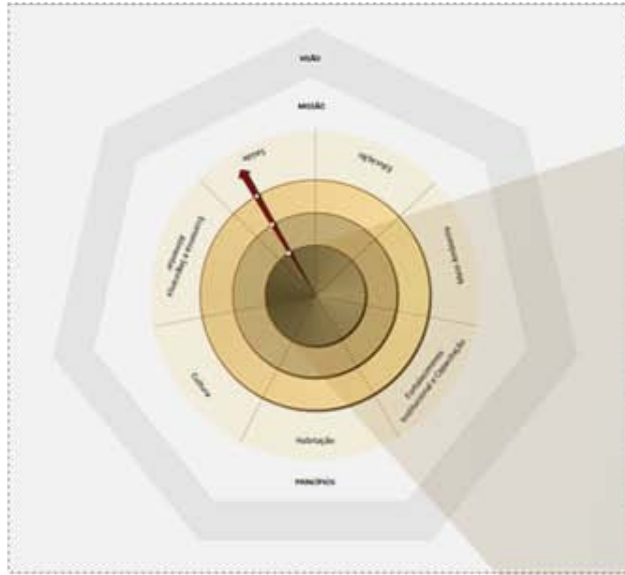


## EXECUTING INSTANCE

### MANAGER INSTANCE

- Does the operational and financial management
- Supports
- Supervises and monitors
- Proposes policies and plans

# Deliberative Instance



# Governance Structure

## INNER LAYER

## OUTER LAYER

### DELIBERATIVE INSTANCE

Labiway Esaga

Deliberative Council  
(Labiway-Ey)

Chamber for Conflict  
Resolution  
(Pamatot-Ey)

### INSTANCE FOR FOLLOWING UP AND ADVICE

Investors Committee  
(financers/donors)

Following up Commission  
(public and private  
partnerships)

Technical Commissions

### MANAGEMENT INSTANCE

Facilitator  
Institution

Financial Manager

### EXECUTING INSTANCE

#### Implementing institutions

Indigenous

Indigenous + facilitator

Non-  
indigenous

Indigenous + non-  
indigenous

Indigenous +  
indigenous

Individual

Carbon auditing



# Assignments and Obligations



# Labiway Esaga

## Who:

- Representative chosen by the Council of Clans.

## Assignments:

- Institutionally represent the fund;
- Authorize the creation of new partnerships and the gathering of new resources;
- Seal the multiannual strategic planning and the annual planning;
- Validate the choice of the Deliberative Council related to the Financial Manager and the Facilitator Institution of the Fund;
- Exercise the veto and no objection powers on approved projects;
- Authorize the block of resources for the Financial Manager and the Facilitator Institution;
- Supervise the work of the contracted Financial Manager and Facilitator Institution;
- Solve non-indigenous conflicts; and
- Inform the other instances about those critics.

## Obligations:

- Guarantee the participation of the Paiter People in the activities of the Surui Fund;
- Guarantee the prevalence of the Paiter peoples' will in the decisions for the Surui Fund;
- Guarantee the fulfillment of contractual conditions and general rules of resources usage; and
- Guarantee the treatment of the mission, vision and principles of the Surui Fund.

# Deliberative Council

## Who:

- Formed by Labiway-Ey from the Paiter Surui Parliament.

## Assignments:

- Choose the Financial Manager and the facilitator Institution;
- Supervise the work of the Financial Manager and the Facilitator Institution;
- Discuss the multiannual strategic plan and the annual plan;
- Supervise the project selection process;
- Judge propositions of related projects;
- Approve or reject the accountability of projects, the Facilitator Institution and the Financial Manager;
- Advice Labiway Esaga in strategic decision making;
- Ask for specialized technical analysis to the Facilitator Institution;
- Approve the alteration of norms and procedures foreseen in the Operation Manual of the Fund.
- Authorize, exceptionally in the absence of Labiway Esaga, the blockage of resources by the Financial Manager or the Facilitator Institution.
- Support Labiway Esaga in solving non-indigenous conflicts; and
- Define its' rules of internal functioning.

# Deliberative Council

## Assignments:

- Transmit to the Paiter Surui people, information about the activities related to the Fund;
- Guarantee the fulfillment of contractual conditions and general rules of resources usage;
- Guarantee the participation of the Paiter Surui people in the Funds' activities;
- Guarantee the prevalence of the Paiter Surui peoples' will in the decision making of the Fund;  
and
- Guarantee the treatment of the mission, vision and principles of the Surui Fund.

# Conflict Resolution Chamber



## Who:

- Formed by Pamatot-Ey (Council of Clans) from the Paiter Surui Parliament.

## Assignments:

- Intermediate indigenous conflicts related to Fund's activities;
- Decide in situations that involve distinct indigenous interests;
- Create dialogue conditions when the parts involved can't make it on their own;
- Guarantee the effective participation of all the actors involved in the conflict;
- Promote the improvement of relations;
- Promote the ease of communication and promote cooperative environments; and
- When necessary, seek support from other members of the governance structure of the Fund (Deliberative Council, Facilitator Institution, Financial Manager, Technical Chambers, etc.)

## Obligations:

- Guarantee the prevalence of the will of the Paiter Surui people in the Fund's decision making; and
- Guarantee the treatment of the mission, vision and principles of the Surui Fund.

*\* The resolution of non-indigenous conflicts is na assignment of Labiway Esaga, and for that, can count on the support of the Deliberative Council.*



# Investors Committee

## Who:

- Formed by representatives of donors and investors of the Fund.

## Assignments:

- Allow the application of resources by them designated;
- Use the veto power when faced to the noncompliance of contractual conditions;
- Determine the interruption of the flow of resources, by them designated, when the noncompliance of contractual obligations is found;
- Verify the reach of the objectives of projects supported with resources by them designated.

## Obligations:

- Consider in their analysis the organizational structure and principles of the Paiter Surui People.

# Following Up Comission

## Who:

- Formed by public and private partners invited by the Deliberative Council and by Labiway Esaga.

## Assignments:

- Assist in strategic decision makings
- Assist the reach of the mission and vision of the fund; and
- Participate in colaborative discussions.

## Obligations:

- Consider in their analysis the organizational structure and principles of the Paiter Surui People.

# Technical Comissions



## Who:

- Formed by specialists designated and invited by Labiway Esaga, by the Deliberative Council and by the Facilitator Institution.

## Assignments:

- Technically support projects financed by the Fund;
- Technically support the work of the Fund's instances; and
- Answer specific technical questions.

## Obligations:

- Consider in their analysis the organizational structure and principles of the Paiter Surui people.

# Facilitator Institution

## Who:

- Hired organization, in accordance with habilitation requirements.

## Assignments:

- Elaborate the multiannual strategic plan and the annual plan;
- Coordinate the selection processes, including the formulation of instruments and procedures of elaboration (projects forms, physical and financial schedule model, etc), of selection (framework and judging sheets, etc), and of monitoring (accountability worksheets, general rules for reporting, etc);
- Support the elaboration of propositions, of activities report, and the accountability of projects;
- Frame the projects propositions;
- Analyze the accountability of projects;
- Do the physical-financial monitoring of projects;
- Propose and coordinate capacity building activities;
- Promote between the Financial Manager and the Implementation Institutions;
- Support the implementing Institutions in the compliance of norms, rules and procedures of the Fund, along with contractual conditions;
- Provide publicity to deliberations and decisions of the Deliberative Council, Labiway Esaga and other acts made related to the Fund;
- Propose the alteration of norms and procedures stated in the Operation Manual of the Fund;
- Support the operation of other instances of the Fund, including the logistic preparation of meetings;
- Provide information asked by the Deliberative Council, by Labiway Esaga and by the Financial Manager;



# Facilitator Institution

## Assignments:

- Communicate, immediately, to the Deliberative Council and to the Financial Manager any irregularity in the usage of resources;
- Support the institutional articulation and the gathering of resources;
- Invite specialists and assemble the Technical Commissions;
- Inform the Deliberative Council and the Financial Manager about the result of the monitoring of projects;;
- Capacitate indigenous associations for the elaboration of their planning; and
- Listen and orient the public about actions and activities performed in relation to the Fund.

## Obligations:

- Guarantee the publicity of actions and activities performed in relation to the Fund;
- Act in compliance to the mission, vision and principles of the fund;
- Comply with norms and procedures established in relation to the Fund;
- Respect the decisions taken by the Deliberative Instance of the Fund;
- Comply with contractual conditions and obligations;
- Perform a good usage of resources in relation to the objectives of projects and contractual conditions; and
- Act in accordance to the principles of the Paiter Surui people.

# Facilitator Institution



## HABILITATION REQUIREMENTS



### Facilitator Institution

1. Private Institution of unblemished reputation;
2. Institution with local operation
3. Tax and legal accordance;
4. Known experience, of at least 5 (five) years, in developing initiatives with indigenous peoples;
5. Known experience, of at least 5 (five) years, in resources management from different sources and in dealing with investors and donors;
6. Known experience, of at least 5 (five) years, in activities of elaboration, execution, monitoring and evaluation of projects;
7. Availability – quantitative and qualitative – of a technical staff;
8. Adequate infrastructure for the execution of it's assignments; and
9. Annual compliance to external independent auditing, performed by companies of known *expertise* and unblemished reputation.

*\* These requirements could not be validated in the meeting which took place in the Metareilá Association, in Cacoal, in January 17 and 18, 2012, due to the lack of time and the need to prioritize other aspects.*

# Financial Manager

## Who:

- Hired organization, in accordance to habilitation requirements.

## Assignments:

- Receive resources designated by donors and investors to the Fund;
- Propose and implement management and investment policies for the resources;
- Manage financial resources, in compliance with contractual conditions previously established;
- Analyze accordance of contracts, budgets, and financial performance reports and make recommendations;
- Inform to the Deliberative Council, to Labiway Esaga e to the Facilitator Institution the availability of resources for the next task;
- Supervise and evaluate the activities of the hired assets manager;
- Present resources execution reports;
- Perform disbursements to the Facilitator Institution or directly to the projects executors, in accordance to the annual planning or approved projects;
- Supervise the execution of projects financed by the Fund;
- Communicate, immediately, to the Deliberative Council and to Labiway Esaga of any inconformity or irregularity found in the execution of resources;
- Interrupt the flow of resources, in accordance to pre-established conditions;
- Radiate rules and procedures of resources usage; and
- Support the poll of resources to the Fund.

# Financial Manager

## Obligations:

- Act in accordance to the mission, vision and principles of the Fund;
- Comply with norms and procedures established in relation to the Fund;
- Respect decisions taken by the Deliberative Instance of the Fund;
- Respect the principles of the Paiter Surui People;
- Perform contractual conditions and obligations; and
- Perform a good usage of resources in relation to the objectives of projects and contractual conditions.



# Financial Manager

## Financial Manager

### HABILITATION REQUIREMENTS

1. Private institution with unblemished reputation;
2. Tax and legal accordance
3. Known experience, of at least 10 (ten) years, in managing financial resources from different sources;
4. Acting in conformation with good governance principles;
5. Known experience, of at least 05 (five) years, in financial management of actions, programs, projects, and relation with investors and donors;
6. Annual compliance to external independent auditing, performed by companies of known expertise and unblemished reputation.
7. Presentation of conditions for the management of resources; and
8. Signature of a contractual instrument that defines conditions for the devolution of resources.

*\* Estes pré-requisitos não puderam ser validados na reunião realizada na Associação Metareilá, em Cacoal, nos dias 17 e 18 de janeiro de 2012, em razão da falta de tempo e da necessidade de priorizar outros aspectos.*

# Implementing Institutions



## Who:

- Indigenous Association
- Indigenous Association + Indigenous Association
- Indigenous Association + partner institution (related to previous consent of the Deliberative Council)
- Indigenous Association + Facilitator Institution
- Non-indigenous Institution (related to the compliance of criteria previously established and to the approval of the Deliberative Council)
- Indigenous Individual (only projects for the grant of scholarships)

## Assignments:

- Propose and implement projects; and
- Elaborate and present activities report and accountability of the projects.

## Obligations:

- Comply with principles and objectives of the Management Plan and of the Paiter Surui people;
- Follow the norms and procedures of the Fund, along with contractual conditions and obligation;
- Respect the decisions taken by the Deliberative Instance;
- Raise fund inside the Management Plan;
- Collaborate during the monitoring of projects;
- Resonate the activities and results of projects, mentioning the support of the Surui Fund;
- Perform a good usage of resources in relation to the objectives of projects and contractual conditions; and
- Perform the self-monitoring and participate in evaluations.

# Implementing Institutions

## HABILITATION REQUIREMENTS



### Indigenous Institutions

1. Legal Accordance (statute and CNPJ)
2. Tax Accordance (**condition\***);
3. Technical capacity and work experience relevant to the proposed project;
4. Performance in accordance to norms and procedures of the Fund and the celebrated contractual instrument;
5. Proved experience in performing projects in indigenous territories; and
6. Adequate infrastructure

### Non-indigenous Associations, Facilitator Institutions and Partners

1. Tax and legal accordance;
2. **Technical capacity and work experience related to the proposed project;**
3. Annual compliance to external independent auditing, performed by companies of proved *expertise* and unblemished reputation (**desirable**);
4. Proved experience, of at least 2 (two) years, in activities related to elaboration and execution of projects;
5. Performance in accordance to norms and procedures of the Fund and celebrated contractual instrument; and
6. Adequate infrastructure

*\* In the case of indigenous associations, this requirement is not deterrent for the formalization of the first contract, but the compliance to it must be proved until the end of the project's execution, in relation to the closure of the contractual instrument.*

# Implementing Institutions



## HABILITATION REQUIREMENTS



### Individual (indigenous)

1. Only projects for scholarships;
2. Demonstration of how will use the acquired knowledge for the benefit of the Paiter Surui people;
3. Nomination of two supervisors: one representative of the Indigenous Association responsible for his/her nomination and one from the University or institution where the studies will be held;
4. Must be nominated by one of the indigenous associations;
5. Perform an internship in one of the indigenous associations or in other organization proved strategic;
6. Demonstration of how will perform the dissemination of the acquired knowledge to the Paiter Surui people; and
7. Regularly obtain good grades and performance evaluations.





# Carbon Auditing

# Carbon Auditing

## Incidence:

The Carbon Auditing will focus in two circumstances:

- 1) **Auditing for verification:** performed, mandatorily, in accordance to procedures established by VCS, in the course of 3 (three) years, as stated in the Project Design Document (PDD); and
- 2) **Extraordinary auditing:** performed in the occurrence of extraordinary events or in emergency situations, when justified and required, independently, by the Deliberative Council, by the Financial Manager, or other intervener of the Project Forestry Carbon Surui.

## Responsible:

- 1) **Verification auditing:** will be performed, mandatorily, by the examiner of the Project Forestry Carbon Surui, in accordance to VCS; and
- 2) **Extraordinary auditing:** will be performed, preferentially, by the verifier of the Project Forestry Carbon Surui or by other institution, proven qualified, and which choice must be communicated and sealed by Labiway Esaga.

# Carbon Auditing

## Responsibilities of the verifier:

When the auditing is instated, the verifier must:

- 1) **Verification auditing:** communicated in accordance to procedures established by VCS;
- 2) **Extraordinary auditing:** forward the result, with the use of a well-founded technical report, which will be used as proof for the decision making of involved parts, to Labiway Esaga, to the Deliberative Council and to the requesting party.

## Providences:

In the performance of auditing, in case it is proven any circumstance that can disrupt the continuity and accordance to the *Project Design Document* (PDD):

- 1) **Verification auditing:** adoption of procedures established by VCS;
- 2) **Extraordinary auditing:** primarily, providences must be set for the correction by Labuway Esaga and the Deliberative Council, in a deadline established by the interested parts. In case Labiway Esaga and the Deliberative Council don't respond, the issue will be set and the Financial Manager, backed by the Well-founded Technical Report, will be able to:
  - Interrupt the flow of resources for a short period of time;
  - Interrupt the flow of resources for the medium/long term, with the financial investments of those; and
  - Return resources to the buyer/investor, in terms to be established contractually.

- *Those providences will not take place in extreme cases, which will have specific rules established in the contracts.*
- *The performance of the Financial Manager, in any of the stated hypothesis, will have it's conditions established in the management contract, celebrated between its' representative and a representative from the Project Forestry Carbon Surui.*



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